

To: All Members of the EXECUTIVE

When calling please ask for:

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Date: 28 June 2019

**Membership of the Executive**

Cllr John Ward (Chairman)  
Cllr Paul Follows (Vice Chairman)  
Cllr David Beaman  
Cllr Andy MacLeod  
Cllr Mark Merryweather

Cllr John Neale  
Cllr Nick Palmer  
Cllr Anne-Marie Rosoman  
Cllr Steve Williams

Dear Councillors

A meeting of the EXECUTIVE will be held as follows:

DATE: TUESDAY, 9 JULY 2019

TIME: 3.00 PM

PLACE: COUNCIL CHAMBER, COUNCIL OFFICES, THE BURYS,  
GODALMING

The Agenda for the Meeting is set out below.

Yours sincerely

ROBIN TAYLOR  
Head of Policy and Governance

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## **NOTES FOR MEMBERS**

Contact Officers are shown at the end of each report and members are welcome to raise questions, make observations etc. in advance of the meeting with the appropriate officer.

### **AGENDA**

1. **MINUTES**

To confirm the Minutes of the Meeting held on 12 March 2019 and the Special Meeting held on 27 March 2019.

2. **APOLOGIES FOR ABSENCE**

To receive apologies for absence.

3. **DECLARATIONS OF INTERESTS**

To receive from members, declarations of interest in relation to any items included on the agenda for this meeting, in accordance with the Waverley Code of Local Government Conduct.

4. **QUESTIONS FROM MEMBERS OF THE PUBLIC**

The Chairman to respond to any questions received from members of the public for which notice has been given in accordance with Procedure Rule 10.

The deadline for receipt of questions is 5pm on Tuesday 2 July 2019.

5. **QUESTIONS FROM MEMBERS OF THE COUNCIL**

The Chairman to respond to any questions received from Members in accordance with Procedure Rule 11.

The deadline for receipt of questions is 5pm on Tuesday 2 July 2019.

6. REVISED STATEMENT OF COMMUNITY INVOLVEMENT FOR PLANNING  
(Pages 7 - 34)

[Portfolio Holder: Councillor Andy MacLeod]  
[Wards Affected: All Wards]

The Statement of Community Involvement (SCI) explains how the Council will consult and interact with residents and stakeholders with regards the preparation of local plan documents and planning applications. It requires updating every five years.

The current SCI expires in August 2019. This report recommends that this revised SCI is recommended to Full Council for adoption.

**Recommendation**

That the Executive recommends to the Council that the Revised Statement of Community Involvement 2019 be adopted.

7. GENERAL FUND FINANCIAL OUT-TURN REPORT 2018/19 (Pages 35 - 44)

[Portfolio Holder: Councillor Mark Merryweather]  
[Wards Affected: All Wards]

This report provides a summary of the 2018/19 financial outturn against budget for the General Fund. The full Statement of Accounts will be presented for approval by the Audit Committee on 22 July 2019.

**Recommendation**

It is recommended that the Executive:

1. notes the outturn position on revenue and capital for 2018/19;
2. approves the budget rescheduling to 2019/20 as detailed in Annexe 2;
3. approves the transfers of surplus on the net cost of services to earmarked reserves as set out in Annexe 2;
4. approves the transfers of additional business rate pilot funding to reserves as detailed in paragraphs 10 and 11; and
5. approves the capital rescheduling to 2019/20 as detailed in Annexe 3.

8. HRA FINANCIAL OUT-TURN REPORT 2018/19 (Pages 45 - 48)

[Portfolio Holder: Councillor Anne-Marie Rosoman]  
[Wards Affected: All Wards]

This report provides a summary of the 2018/19 financial outturn against budget for the Housing Revenue Account. The full Statement of Accounts will be presented for approval by the Audit Committee on 22 July 2019.

## **Recommendation**

It is recommended that the Executive:

1. notes the outturn position on revenue 2018/19 in paragraph 2 of the report; and
2. approves the HRA capital carry forwards to 2019/20 as detailed para 7 and 8 of the report.

9. FUNDING BID - ALFOLD SPORTS & SOCIAL CLUB (Pages 49 - 52)  
[Portfolio Holder: Councillor David Beaman]  
[Wards Affected: Alfold Cranleigh Rural and Ellens Green]

This report proposes to allocate funding from Waverley's capital receipts towards a project to improve Alfold Sports & Social Club.

## **Recommendation**

That the Executive agrees to support Alfold Sports & Social Club in their bid to improve facilities for the young and old of their community, releasing funding from the capital receipt that Waverley was paid for an easement on land in Alfold.

10. APPOINTMENTS TO OUTSIDE BODIES 2019 - 2021

Appointments as Waverley representatives to outside bodies are made on a two-yearly basis, subject to any changes in ex officio appointments during the course of that time.

The proposed list of appointments for 2019-2021 is *to follow*.

## **Recommendation**

That the appointments as Waverley representatives to outside bodies for 2019-2021 are agreed.

11. SUNDRY APPOINTMENTS 2019/20

To agree appointments to the Employer's Negotiating Team, Investment Advisory Board, CIL Advisory Board, Air Quality Steering Group; and the roles of Armed Forces Champion and Design Champion.

Nominations are *to follow*.

## **Recommendation**

That the Executive agrees the appointments as set out on the paper (to follow).

12. EXCLUSION OF PRESS AND PUBLIC

To consider the following recommendation on the motion of the Chairman:-

Recommendation

That, pursuant to Procedure Rule 20, and in accordance with Section 100A(4) of the Local Government Act 1972, the press and public be excluded from the meeting during consideration of the following item(s) on the grounds that it is likely, in view of the nature of the business to be transacted or the nature of the proceedings, that if members of the public were present during these items, there would be disclosure to them of exempt information (as defined by Section 100I of the Act) of the description specified at the meeting in the revised Part 1 of Schedule 12A to the Local Government Act 1972.

13. ANY OTHER ISSUES TO BE CONSIDERED IN EXEMPT SESSION

To consider matters (if any) relating to aspects of any reports on this agenda which, it is felt, may need to be considered in Exempt session.

**For further information or assistance, please telephone  
Fiona Cameron, Democratic Services Manager & Deputy Monitoring  
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## WAVERLEY BOROUGH COUNCIL

### EXECUTIVE

9 JULY 2019

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**Title:**

**REVISED STATEMENT OF COMMUNITY INVOLVEMENT FOR PLANNING**

**[Portfolio Holder: Cllr MacLeod]  
[Wards Affected: All]**

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**Summary and purpose:**

The Statement of Community Involvement (SCI) explains how the Council will consult and interact with residents and stakeholders with regards the preparation of local plan documents and planning applications. It requires updating every five years.

The current SCI expires in August 2019. This report recommends that this revised SCI is recommended to Full Council for adoption.

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**How this report relates to the Council's Corporate Priorities:**

The Statement of Community Involvement provides the framework for consulting with our residents in relation to all planning matters. It details how residents and other stakeholders can be involved in shaping future development within Waverley and also commenting on planning applications

**Equality and Diversity Implications:**

An Equalities Impact Assessment has been carried out to ensure that the SCI is inclusive.

**Financial Implications:**

Costs and resource implications of the new SCI will be met by current approved budgets and resources.

**Legal Implications:**

The Council is required to produce a Statement of Community Involvement as set out in the Planning and Compulsory Purchase Act 2004. Regulation 10A of the Town and Country Planning (Local Planning) (England) Regulations 2012 provides that in respect of a statement of community involvement, the review must be completed every five years starting with the date of adoption of the statement of community involvement.

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**Background**

1. Every Local Planning Authority is required to produce a Statement of Community Involvement as set out in the Planning and Compulsory Purchase Act 2004. This outlines how the Council will enable residents and other stakeholders to become involved in the preparation of local plan documents and the determination of planning applications.
2. Paragraph 16 of the National Planning Policy Framework (NPPF) February 2019 states that local plans should:

*“be shaped by early, proportionate and effective engagement between plan-makers and communities, local organisations, businesses, infrastructure providers and operators and statutory consultees”.* The SCI outlines how this will be undertaken.

3. There is a legal requirement to review the SCI every five years, with the current SCI was adopted by the Council in August 2014 so is due to expire in August 2019.

### **The proposed SCI**

4. Whilst it is necessary to update the current SCI, officers do not consider that there have been any changes in circumstances since 2014, in terms of legislation, policy or guidance, that warrant a more significant change to the SCI. The proposed SCI is, therefore, a “light touch” updated version of the one adopted in August 2014, to ensure that it remains up-to-date. As a result there is very little alteration in the detail of consultation; it reflects changes in legislation, factual updates and new consultation methods reflecting social media innovations. There is no requirement to consult when adopting a new SCI. The updated version of the SCI is attached as Annexe 1 to this report.
5. In relation to planning policy matters, the SCI sets out the range of different consultation and engagement methods that the Council may use. However, it does not seek to prescribe exactly what methods will be used for a given consultation. This is to recognise the fact that there will be a range of different types of planning policy documents that the Council may produce, and these will vary significantly in their size and scope. It is important, therefore, that consultation is effective and proportionate. The SCI recognises this by identifying a range of consultation and engagement methods open to the Council without seeking to prescribe exactly what methods will be used in each case.
6. In relation to planning applications and similar documents, the SCI reflects established practices in terms of consultation and engagement and has been updated to reflect any particular changes since 2014, for example in relation to the types of applications that are made.

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### **Recommendation**

That the Executive recommends to the Council that the Revised Statement of Community Involvement 2019 be adopted.

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### **Background Papers**

There are no background papers (as defined by Section 100D(5) of the Local Government Act 1972) relating to this report.

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### **CONTACT OFFICER:**

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# Waverley Statement of Community Involvement

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## 1. What is the Statement of Community Involvement?

- 1.1. Planning affects everyone. It shapes the environment that we live, work, shop and play in; planning decisions determine where new homes, offices, shops and leisure facilities will be built and what happens to our countryside, open spaces and historic environment.
- 1.2. By law, we have to produce a Statement of Community Involvement<sup>1</sup>. It sets out how and when we will involve and consult with you on planning matters. The Council already has a Statement of Community Involvement, but this is now 5 years old.<sup>2</sup> We need to replace our current statement with an up to date document, which is clear and easy to understand, using different ways to engage and empower people about the future planning of their local areas.
- 1.3. For many people, planning is something that they only get involved with when a development directly affects them, and this can sometimes be too late to really influence the process. You can be involved in how the borough develops from the early stages of local plan policy formulation to the end stage of commenting on planning applications. This document sets out how.
- 1.4. The document answers the following key questions;
  - Why is community involvement important?
  - What do we do with your comments?
  - How can you become involved?
  - Who do we consult?
  - Which sort of planning issues can you comment on?
  - When should you let us know your views?

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<sup>1</sup> Planning and Compulsory Purchase Act 2004

<sup>2</sup> Statement of Community Involvement August 2014

## 2. Why is community involvement important?

- 2.1. The Government is committed to increasing the ability of local communities to influence planning decisions and future development in their areas by making the planning system much more simple and accessible. The National Planning Policy Framework, updated 19 February 2019, has a stated aim of simplifying the planning system, making it easier to understand and much more accessible to local people. It sets out the Government's commitment to involve all interested parties in planning.

"Plans should:

c) be shaped by early, proportionate and effective engagement between plan-makers and communities, local organisations, businesses, infrastructure providers and operators and statutory consultees".<sup>3</sup>

### Neighbourhood Plans and Development Orders

- 2.2. The Government has also introduced the option for a Parish or Town Council or a Neighbourhood Forum to prepare a Neighbourhood Plan.<sup>4</sup> These plans need to deliver growth within the area. They cannot promote less development than is set out in the Council's Local Plan, but they can promote more. In addition to, and unlike Council prepared plans, a Neighbourhood Plan must undergo a local referendum prior to being made. Once made it would form part of the Local Plan.
- 2.3. Several parishes and towns have taken up this opportunity to develop their own neighbourhood plan. Farnham has a made Neighbourhood Plan and several others are approaching adoption of their plans. There is scope within each neighbourhood plan for the towns and parishes to choose whether they would like to allocate housing sites within their boundary or let the council do so.
- 2.4. The applications for the designation of a neighbourhood plan are listed on the Council's website at [Neighbourhood Planning](#).
- 2.5. The approach taken to engaging and involving local residents, business and other stakeholders is a matter for the Parish or Town Council. As local communities are responsible for the development of these plans, it is up to them to decide how they involve people and undertake any consultation. However, the Council does have some statutory functions regarding the development of Neighbourhood Plans, which are set out in the Neighbourhood Planning (General) Regulations 2012.<sup>5</sup> These include publicising the intention to produce

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<sup>3</sup> National Planning Policy Framework 2019 paragraph 16

<sup>4</sup> Localism Act 2011 paragraph 113

<sup>5</sup> These regulations can be viewed at <http://www.legislation.gov.uk/>

a Neighbourhood Plan on its website, undertaking consultations when the NP is submitted, facilitating the examination and publishing the examiner's report.

2.6.

2.7. The Council has a duty to provide technical advice and support to communities producing a neighbourhood development order. The level of support will depend on budgetary and staffing constraints.

### **3. What do we do with your comments?**

3.1. What you think matters; we will try to reflect this in the documents that we produce and the decisions that we make. We do have to make some very difficult decisions at times, and have to follow planning law and Government guidance. There are certain things that we can't change or influence and we will let you know when this is the case.

3.2. It is important that you make comments that are relevant to the question being asked, or to the section that you are commenting on, so that we can analyse your views in the most effective way.

3.3. This document reflects the current planning situation, but we recognise that Acts and regulations may change. We will consult in line with new legislation and update the document to reflect this. See [www.legislation.gov.uk](http://www.legislation.gov.uk) for the latest information.

3.4. The General Data Protection Regulations (2018) provide strict rules on how we deal and store your personal information that accompanies any comments you may make on any planning issues.

### **4. How do you find out what difference your comments have made?**

4.1. If your comments relate to a planning policy document that Waverley prepares, such as the Local Plan, we will prepare a Consultation Statement setting out how and why the comments made during the consultation have either been taken on board and influenced the final document or have not been considered as appropriate for inclusion. This document will be placed on the Council's website.

4.2. If your comments relate to a particular planning application, these are summarised and responded to within the planning officer's report.

4.3.

4.4. This document now explains the two main types of planning document that you can comment on: **Planning Policy documents and Planning applications.**

## 5. Planning Policy Documents

5.1. The two main types of policy documents that the Borough Council produces and that involve the consultation process are Local Plans and Supplementary Planning Documents (SPDs). There is more information on these documents at para 9.1. In addition, the Council produces a range of other documents that may be subject to some degree of public consultation including:

- A programme of Conservation Area Appraisal which involves consultation
- The preparation of and subsequent changes to the Council's Community Infrastructure Levy (CIL) Charging Schedule.
- The preparation of and subsequent updating of Avoidance Strategies in relation to Special Protection Areas and Special Areas for Conservation.
- The inclusion of sites on Part 2 of the Council's Brownfield Register

### The Local Plan

5.2. The Local Plan is central to delivering Waverley's vision for the future. It sets out how the Borough will grow over the next 15 to 20 years. It includes planning policies for how much housing we need to provide as well as broad policies for land uses such as employment, town centres and transport. Details of Waverley's new Local Plan documents are set out in our [Local Development Scheme](#) on the website.

## 6. Who do we consult?

6.1. There are certain organisations that the Council has a statutory duty to consult. When developing Local Plans, regulations state the types of groups that must be included in consultation processes. They are grouped into 'specific' and 'general' consultation bodies (See appendix 1).

6.2. **Specific bodies** are those organisations the Council is required to consult on all planning policy. They include organisations such as the Environment Agency, Town and Parish Councils, health care providers and neighbouring local authorities.

6.3. **General bodies** include voluntary groups, business interests and amenity groups who may be interested to find out how the proposed changes may affect them. Unlike specific bodies there is no definitive list of general bodies with whom the Council must consult.

6.4. In addition the Council works closely with other local planning authorities, the County Council and other prescribed organisations with regard to strategic

priorities and areas of common interest. These partnerships help us to meet our Duty to Co-operate, established by the Localism Act 2011.

- 6.5. **The Wider Waverley Community:** Waverley has a resident population of 125,300. There are also some 8,200 businesses<sup>6</sup>, and many local interest and residents groups. It is important to understand who the local community is in order to ensure that we engage and consult in the most effective way, and that the views received are representative of the community as a whole.
- 6.6.
- 6.7. Whilst involvement in the preparation of Local Plan Documents is open to everyone, the Council will try to target consultation towards those most likely to be affected, for example by holding events in particular areas.
- 6.8. **Interest Groups:** The Council has a large database of organisations and community groups active in the Borough, which has been developed through previous consultation exercises. It includes amenity groups, conservation bodies, local residents associations, disability groups, business interests, landowners and developers. The Council will involve these organisations and groups in the preparation of relevant Development Plan Documents. We will endeavour to keep the database up-to-date and will actively encourage other organisations to register their interest.
- 6.9. **Hard to Reach Groups:** Waverley recognises that certain groups in society have specific needs or have less chance than others to get involved and are therefore under-represented in the planning process. In Waverley such 'hard to reach groups' may include young people, elderly people, rural communities, people on low incomes, people with disabilities, gypsy and traveller communities and ethnic minorities. The Council will continue to promote social inclusion by actively seeking to involve 'hard to reach groups' in the planning process.
- 6.10.
- 6.11. **Individuals:** Waverley intends to keep individuals, who have expressed an interest previously, involved at each stage of the process. We will raise awareness about the preparation of new Local Plan Documents through a range of activities including social media. Individuals can register an interest in the preparation of individual Local Plan Documents in writing, by e-mail and through the Council's website at [www.waverley.gov/haveyoursay](http://www.waverley.gov/haveyoursay).
- 6.12. It is important to recognise that it's not just residents that make up our community. The Borough's many businesses located in the town and village centres and industrial estates, all make a significant contribution to the local economy. Some 30% of the population that work in the Borough commute from

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<sup>6</sup> *Waverley's Economic Development Strategy 2018 - 2032*

other areas. We also have a high proportion of people who work from home and a high number of micro businesses that employ less than 10 people.

- 6.13. There is also a strong voluntary and community sector that provides a number of valued services across Waverley. These organisations are important in delivering services to local people and, as such, are a key consultee on planning issues.
- 6.14. Utilities companies, Surrey County Council and other service providers such as the CCGs <sup>7</sup>and the Environment Agency, are consulted on all planning policy and, where necessary, planning applications.

## **7. Waverley's Approach to Consultation on the Local Plan**

- 7.1. Waverley recognises the different individuals and groups that make up its community, their differing needs and expectations, and the various roles they perform. There is no 'one size fits all' and the methods of engagement may need to be tailored to maximise their opportunities to influence the way in which their local area should develop.
- 7.2. In order to maintain high standards of customer service and continually improve engagement with the community, we will use the following principles:

### **Make it relevant**

- Focussing on issues that matter to particular groups
- Explaining the role of planning and the way in which people can have an influence

### **Avoid Consultation overload**

- Maintaining our planning consultation database to keep it as up to date as possible, and making it accessible to allow consultees to update their own records
- Ensuring regular information on what we are doing is provided through the website, social media, press articles and Council newsletters
- Joining up with other consultation events whenever possible and use the results of other consultations as evidence

### **Make it readable and easily accessible**

- Providing clear and jargon free consultation materials

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<sup>7</sup> Clinical Commissioning Group

- Recognising the importance of the internet in improving accessibility to information but also its limitations for consulting with some groups or individuals

### **Give you the information you need, when you need it**

- Providing documents for inspection at the Council Offices and public libraries
- Providing the ability to respond online via the Planning Policy consultation portal
- Publishing all documents on the Council's website
- Informing all specific and general consultation bodies that the draft and associated documents are available for consultation
- Asking Town and Parish Councils, if they can place notices on their community notice boards in the Borough giving information on consultations
- We may consider issuing a press release to publicise the consultation
- Using social media such as Twitter and Facebook, to give publicity to forthcoming/ongoing consultations
- Using other events or publications, where available and appropriate, to publicise any consultation, including attending local community group meetings on request

### **Provide feedback**

- Publishing consultation statements following all consultations
- Publishing reports following any research
- Waverley's Authority's Monitoring Report (AMR) also provides feedback through policy analysis and assessment, allowing people to see whether specific policies are working in practice

### **Be clear about what we will do and what we will not do**

- We will not accept any anonymous or confidential responses
- We will make all responses publicly available whilst complying with data protection requirements in relation to personal/sensitive data
- It is unlikely that we will be able to take account of consultation responses received outside the prescribed consultation period.
- We will not accept any responses which are considered to be offensive or prejudiced
- We will not provide individual responses. A generic consultation statement will address any issues raised



## 8. How can you be involved in the Local Plan?

- 8.1. Every local authority is required to produce a Local Plan for its area. The current Waverley Local Plan Part 1 will guide the future development and use of land, buildings and infrastructure up to 2032. All planning decisions must be made in accordance with the Local Plan.
- 8.2. The new Local Plan for Waverley is being prepared in two stages. The Waverley Borough Local Plan Part 1: Strategic Policies and Sites was adopted in February 2018 and the Waverley Borough Local Plan Part 2: Site Allocations and Development Management Policies is currently being prepared with an anticipated adoption in 2020. This will contain day-to-day development management policies and non-strategic housing site allocations. The Council must consult residents, businesses and other stakeholders on these documents and this section outlines the stages at which you can become involved.
- 8.3. There are two principal types of document that set out and explain policy. Each has different statutory arrangements that dictate what they can cover and how they are prepared.

**Local Plans.** These set out policies that will be used to manage development within the Borough. They are consulted on and examined by a Planning Inspector through a public examination.

**Supplementary Planning Documents.** These provide additional guidance on policies in the adopted Local Plan. They cannot introduce new policy. Although they are consulted on, they are not examined by a Planning Inspector.

- 8.4. In addition to Local Plans and Supplementary Planning Documents, you can also comment on Conservation Area appraisals.  
These documents are explained in greater detail at section 10.  
The progress and success of these documents is monitored annually through the Authority's Monitoring Report.
- 8.5. As a minimum for all public consultation on the Council's draft policy documents we will normally email or write (depending on stakeholder preference) to the relevant consultees on our database, issue a press release, post notices (if required) and other information on our website. For development plan documents we may have exhibitions or drop-in sessions. All documents will be available on our website, at the Council Offices and in most cases at all local libraries.

- 8.6. We will also use social media sites, such as Facebook and Twitter, if appropriate.

## **9. Preparing Local Plans and Supplementary Planning Documents**

- 9.1. All planning policy documents are required to be based on evidence. The evidence used in preparing a plan includes 'hard' statistical evidence such as census data, population projections, housing and accommodation needs, land supply and economic growth expectations. In addition 'soft' data can also be used to inform planning documents.
- 9.2. In addition the Council needs to ensure that policies are compatible with national policy as set out in the National Planning Policy Framework. Therefore the Council must balance the need to ensure that its policies not only reflect local opinion, as far as possible, but that they are justified by the empirical economic, social and environmental evidence whilst supporting the stated national aims of the Government.
- 9.3. In producing Local Plan documents a four-stage process will be used. The diagram overleaf illustrates the key stages in the plan making processes which are:
1. Preparation
  2. Production/ Publication of final draft document
  3. Submission and examination
  4. Adoption
- 9.4. Whilst all these stages will be undertaken for the production of Local Plans, Supplementary Planning Documents (SPD) do not require an examination in public. As such, stage 3 does not apply. Each of these stages is discussed in the next section detailing what the Council will do to engage people.

### **Sustainability Appraisal**

- 9.5. Throughout this process the Council is required to assess the social, environmental and economic implications of planning policies and proposals. Sustainability Appraisal takes place alongside the preparation of the Local Plan.

In undertaking sustainability appraisals, the Council will:

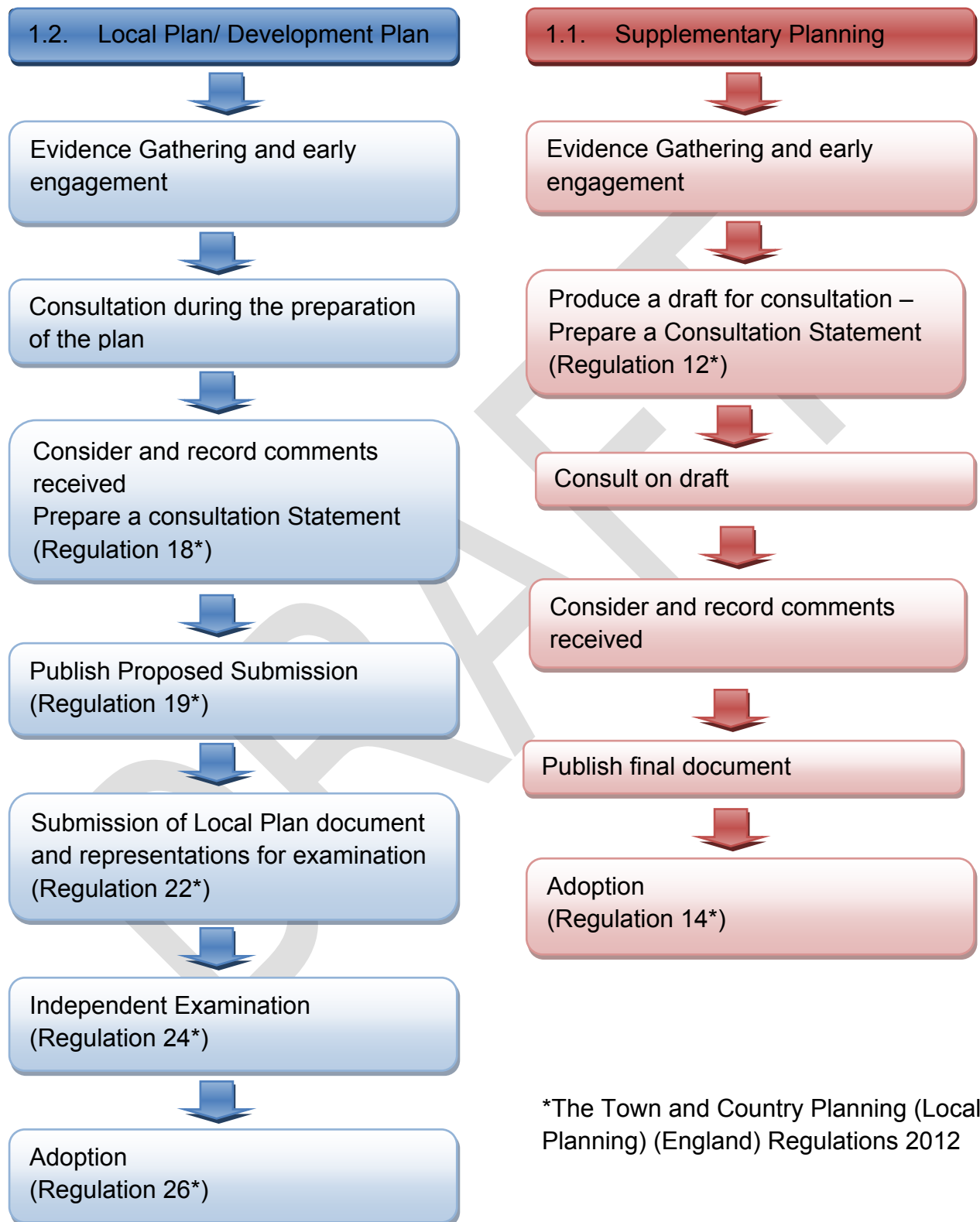
- Consult stakeholders, including the Environment Agency, Natural England and Historic England on the scope of the appraisal. It is only required to consult these

bodies on the scoping document, which defines the parameters of the appraisal across a number of aspects

- Consult key stakeholders and the public as part of public participation in the preparation of a Development Plan Document
- Undertake public consultation on the final Sustainability Appraisal which supports the Proposed Submission Local Plan.

DRAFT

## Processes for Preparing Local Plan documents and Supplementary Planning Documents



## **Stage 1: Preparation**

9.8. This stage applies to Local Plans and Supplementary Planning Documents.

### **What this stage involves**

9.9. This stage involves the gathering of evidence and identification of the main issues relating to the document's purpose. This is where the process of engaging with residents, businesses, service providers, utilities and all other stakeholders will begin. This stage also involves considered options and alternatives, leading to the development of the plan that is published at Stage 2.

### **How you can be involved**

9.10. This is the best time to be involved in the plan making process, as your comments and views form part of the evidence base that supports the Council in setting the policy direction of the proposed plan.

9.11. The methods used in engaging stakeholders will depend on the type of document being produced, the target audience and the Council's resources. Legislation does not set out how the Council should approach early engagement and any approach taken by the Council will be limited by the amount of financial and staff resources available. The Council will seek to use the most effective approach both in terms of cost and outcome.

9.12. The following list details some of the activities and methods we will consider using. It is not exhaustive; nor does it represent a list of activities that will be used in every instance or type of document. All engagement activities being undertaken will be published on the Council's website.

9.13. For Supplementary Planning Documents there will be a consultation period of four to six weeks.

### **Providing information:**

- Information on the Local Plan pages of the Council's website
- Press releases to local newspapers and magazines
- Public exhibitions
- Publication and distribution of posters, fliers and promotional leaflets, using the Town and Parish Councils to raise awareness
- Articles in the Waverley publication 'Your Waverley'
- Present information at public events when the timing is coincidental

### **Consultation:**

- Using the Council's online consultation portal
- Questionnaires and surveys
- Surveys of the Council's Citizens panel when appropriate

### **Involvement:**

- Community planning workshops
- Stakeholder focus groups
- Meetings with community groups and other key stakeholders, such as Town and Parish Councils
- Many of the above activities are also dependent on timing. If the consultation or early engagement exercise falls within the timeframe of a Town and Parish Forum, Planning Agents forum or a 'Your Waverley' publication date, then it will be possible to use these to support any consultation or engagement activity being undertaken.

### **Stage 2: Production/ Publication of final document**

9.14. This stage applies to Local Plans and Supplementary Planning Documents.

#### **What this stage involves**

9.15. In the case of a local plan, the Council will publish the plan, and if necessary any changes to the adopted policies map or key diagram<sup>8</sup>, that, in its view should be the final version.

9.16. In the case of a Supplementary Planning Document, a final version will be produced and presented to the Council for adoption (see Stage 4). **There is no scope to make further comments on a Supplementary Planning Document at that stage.**

#### **How you can be involved**

9.17. The published local plan document will be subject to consultation for 6 weeks. This consultation stage is a statutory requirement<sup>9</sup>. The way in which you respond becomes much more formal at this stage. Representations can only relate to whether it has been prepared in accordance with the Duty to Cooperate, the relevant legal and procedural requirements, and whether it is considered to be 'sound'. **As such, your representation at this stage should only make reference to these matters.**

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<sup>8</sup> A policies map illustrates the policies, proposals and designations in the local plan documents. A Key Diagram shows the most strategic policy areas and broad designations.

<sup>9</sup> The statutory requirements set out in Regulation 19, 20, 35 and 36 of the Town and Country Planning (Local Planning) (England) Regulations 2012

## National Planning Policy Framework- Tests of soundness

*Positively Prepared*- providing a strategy which, as a minimum seeks to meet the area's objectively assessed needs; and is informed by agreements with other authorities, so that unmet need from neighbouring areas is accommodated where it is practical to do so and is consistent with achieving sustainable development;

*Justified*- an appropriate strategy, taking into account the reasonable alternatives and based on proportionate evidence;

*Effective*- deliverable over the plan period, and based on effective joint working on cross-boundary strategic matters that have been dealt with rather than deferred, as evidenced by the statement of common ground; and

*Consistent with national policy*- enabling the delivery of sustainable development in accordance with the policies in this Framework

- 9.19. The consultation methods used will be advertised on the Council's website and will accord with the Core principles set out in section 7. To support anyone making a representation the Council will publish guidance notes setting out clearly how representations should be made. In addition the Council will provide an appropriate form on which representations can be made. We do prefer to have representations made via our consultation portal, but we will have paper copies of any forms available in appropriate places. Those making the representations should indicate if they would like to attend and give evidence at a public examination.
- 9.20. Following consideration of the comments made during the consultation, the Council will give consideration to making minor changes in the light of comments received prior to submission. If we decide we want to make more significant changes in the light of your comments, we will re-publish and re-consult.

### Stage 3: Submission and examination

- 9.21. This stage applies to Local Plans only.

#### What this stage involves

- 9.22. All representations made at stage 1 are then submitted to the appointed inspector together with the document itself, and all supporting evidence used in its preparation<sup>10</sup>. Regulation 19 of the Town and Country Planning (Local Planning) (England) Regulations 2012 requires that general consultation bodies

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<sup>10</sup> In accordance with statutory requirements set out in Regulation 22, 35 and 36 of the Town and Country Planning (Local Planning) (England) Regulations 2012

and specific consultation bodies are informed that the submission documents and statement of representations are available for inspection.

- 9.23. The independent inspector will consider whether the document meets the tests of soundness set out in the National Planning Policy Framework (as explained in 9.15).

### **How you can be involved**

- 9.24. The Council will publicise the examination in accordance with statutory requirements<sup>11</sup>, giving people at least 6 weeks' notice before the opening of any hearing session. We will let you know where and when the hearing will be held and the name of the independent inspector via letter or email. This will also be publicised on the Local Plan Examination page of our website.
- 9.25. The Government encourages representations to be dealt with in writing. However, if people would like to present their evidence in person, a public hearing will be held. Written representations carry equal weight to those presented orally at the examination. The inspector will decide how any oral representations will be heard, and this can take place as a round table debate chaired by the inspector.

### **Stage 4: Adoption**

- 9.26. This stage applies to Local Plans and Supplementary Planning Documents.

### **What this stage involves**

- 9.27. Both Local Plans and Supplementary Planning Documents need to be formally adopted by the Council before they carry any significant weight<sup>12</sup>. Once adopted, they become statutory planning policy or guidance and form part of the Waverley Local Plan.
- 9.28. Following examination of a Local Plan, the inspector will produce a report with their recommendations. On receipt of the Inspector's Report, the Council will make a copy publicly available and inform those persons who asked to be notified of its publication as soon as reasonably practicable.<sup>13</sup> Where necessary, the document will be amended to reflect the Inspector's recommendations prior to being presented to the Council for adoption.

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<sup>11</sup> The statutory requirements set out in Regulation 24, 35 and 36 of the Town and Country Planning (Local Planning) (England) Regulations 2012

<sup>12</sup> The Council must adopt in accordance with Regulation 14 or 26 of the Town and Country Planning (Local Planning) (England) Regulations 2012

<sup>13</sup> In accordance with statutory requirements set out in Regulation 25, 35 and 36 of the Town and Country Planning (Local Planning) (England) Regulations 2012



- 9.29. With regards to Supplementary Planning Documents, the Council will consider the comments made at Stage 1 and make any appropriate changes before formally adopting the document.

### **How you can be involved**

- 9.30. There is no scope to comment at this final stage of the process unless you wish to legally challenge the document being adopted<sup>14</sup>. The application must be made promptly, and in any event, not later than the end of the six weeks from the date set out in the Adoption Notice.

## **10. What other planning documents can you comment on?**

- 10.1. The Council is also responsible for the preparation of other planning documents outside the statutory Local Plan relating to the future development of the Borough. These include Conservation Area Appraisals, the Community Infrastructure Levy and Avoidance Strategies for Special Protection Areas and Special Areas for Conservation and the Brownfield Register. Depending on the subject matter, the Council will engage with local residents, communities and organisations where deemed appropriate

## **11. How can you be involved in Planning Applications?**

- 11.1. The Council's Planning Services is responsible for the processing of all planning applications within the Borough. This Section sets out how you can be involved in planning applications at the preplanning stage, application stage and once a decision has been made.

### **General advice and assistance**

- 11.2. Detailed information on the Council's Planning Service is available on our website, on the [Planning and Building Regulations home page](#). Information about whether planning permission is required (permitted development), what has to be submitted with a planning application (the local Validation List) and seeking advice before submitting an application (pre application advice), is available. There is also specific advice on how to view and comment on a planning application. For those without internet access, Surrey County Council libraries have computers available for the public to use. In addition, the

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<sup>14</sup> Any aggrieved person can make an application to the High Court under Section 113 of the Planning and Compulsory Purchase Act 2004 on the grounds that the document is not within the appropriate power or that a procedural requirement has not been complied with.

Reception and Customer Team are available by telephone on 01483 523583, by e-mail [planningenquiries@waverley.gov.uk](mailto:planningenquiries@waverley.gov.uk) and in person at the Planning Reception in the Council Offices, The Burys, Godalming, GU7 1HR. Officers will assist you in gaining access to the information and provide advice that you may require.

- 11.3. The Planning Portal is a joint venture between the Ministry of Housing, Communities and Local Government and PowerPlanQuest Ltd providing online planning and building regulations resource for England and Wales and also provides advice and services for the public and professionals [www.planningportal.co.uk](http://www.planningportal.co.uk) . It provides information regarding the whole planning process as well as giving access to more detailed Government guidance. The government also produces a guide which provides an overview as to how the planning system works. It is called the “Plain English guide to the planning system”.
- 11.4. Additionally, Planning Aid England provides free, independent and professional planning advice to communities and individuals that cannot afford to pay professional fees. This service encourages people to become involved in the planning system.

The contact details for [Planning Aid](#) are:  
[www.planningaid.co.uk](http://www.planningaid.co.uk)  
Email: [advice@planningaid.rtpi.org.uk](mailto:advice@planningaid.rtpi.org.uk)

[Advice on tree preservation orders](#) is set out in the government’s website.

### **Stage 1: The pre-application stage**

- 11.5. Formal procedures for dealing with pre application enquiries were introduced by the Council in 2008 with charging introduced in 2009. The development of this service, with appropriate fees, allows us to dedicate time to applicants to improve **the quality of development schemes prior to submission without this cost being borne by the Council**. Early discussions on proposed schemes with the local community and relevant organisations and groups, before an application is finally put together and submitted as an application, benefit local communities as well as helping applicants identify areas where improvements or change might be beneficial to their proposal.
- 11.6. The Council will encourage developers/applicants to consult with the community about their initial schemes. Depending on the size and scale of the development proposal, the Council suggests developers/applicants use some or all of the follow methods of consultation:
- Letter/or discussion with neighbours about plans;

- Presentations to Parish/Town Councils;
  - Exhibition events to discuss proposals with interested neighbours, community groups and consultation bodies. This should also include media advertisement to publicise the event and development.
- 11.7. For very large scale developments, subject to the appropriate fee and at the discretion of the Head of Planning Services, Development Control Consultative Forums may be organised and held to allow the developer to present their proposal and for interested parties to express what they believe to be the key issues to be addressed in any planning application. In some cases, the consultation with the community prior to submitting an application for certain developments is compulsory under the Localism Act.
- 11.8. Pre application consultation should provide all groups, statutory and non-statutory, and specialist interest groups, with an opportunity to participate in the development of proposals. It gives local people a chance to comment when there is still an opportunity to influence the proposal.
- 11.9. Applicants are especially encouraged to liaise early with infrastructure providers (i.e. water/electricity/gas) for new developments at the pre-application stage.
- 11.10. When applicants have undertaken community consultation, it is advisable to include a full Statement of Community Involvement in the planning application submission. This will allow the Council to understand how the community and other stakeholders have been involved and how the feedback from this consultation has been incorporated into the application proposals.

## **Stage 2: The application stage**

- 11.11. Opportunities for formal community involvement occur when applications are lodged, regardless of any pre application process that has occurred. These may be planning applications and other applications including tree applications and proposals, telecommunications proposals and listed building applications.
- 11.12. It is at this point when the majority of residents first become involved in the planning system, especially if the proposed development affects them directly. The Council determines over 2800 applications a year, ranging from householder applications (extensions and alterations to individual dwellings) to new housing schemes and retail and office developments. These decisions are important to local people as they have a direct impact on their own amenity as well as the way an area grows and develops.

- 11.13. There are also statutory consultees that need to be notified on specific planning applications before the grant of permission. Consultation depends on the application type and location of the proposed development.
- 11.14. The requirements for advertising and consulting on planning applications are set out in the Town and Country Planning (Development Management Procedure) (England) Order 2015 (as amended). As well as meeting these statutory requirements, the Council has a well-established process for publicising planning applications. These include:
- Letters to residents/businesses/properties immediately adjacent to the red line of the application site for most types of applications
  - Letters to statutory organisations and interest groups
  - Site notices (under certain circumstances)
  - Newspaper adverts, where required
  - A weekly list of applications is sent to the local papers for them to publish at their discretion and also sent to libraries and put on the website
  - A copy of the weekly list is sent to the relevant Parish and Town Council - who may display lists of local applications on their own notice boards
  - Planning applications with plans uploaded on the web to enable online consultation and tracking
  - People signing up for alerts to applications via twitter
  - Interactive [Waverley Planning maps](#) on the website which allows searching for recent applications in an area.
- 11.15. Applications, including all of their supporting information, are made available online. Comments can be made in writing direct to the Council through the online consultation option or via e-mail to [planconsult@waverley.gov.uk](mailto:planconsult@waverley.gov.uk). We will also accept comments made in letter format. There is information regarding how to comment on the reverse of the neighbour notification letters and on our website [How to view and comment on planning applications](#). Unfortunately, due to the volume of representations that are received, we do not provide acknowledgements. It is a requirement for any comments that you wish to be considered to be published on the website, showing your name and address. Full consideration will be given, and due weight attached, to the views of the community and stakeholders. The comments received and additional information, including the officer report (which includes a summary of comments received), are published on the website so that anyone can view the information upon which a decision is made.

### **Stage 3: The decision stage**

- 11.16. Time scales: the majority of applications are dealt with within 8 weeks, or 13 weeks for 'major' applications. The applicant can appeal to the Secretary of

State after this time. Applications are decided either by the relevant Area Planning Committee, the Joint Planning Committee or under delegated powers in accordance with the Council's current Scheme of Delegation of functions to Officers. A councillor can, however, in accordance with the scheme of delegation request that an application that would normally be dealt with under delegated powers, be reported to the relevant Planning Committee for the decision to be made. If a planning application receives five or more comments of objection then the Planning Officer will refer the application to the Ward Councillors for consideration. The ward councillors can at this point direct that the application is reported to the relevant Area Planning Committee for the decision to be made.

11.17. Planning Committee meetings are open to the public and are usually viewable on line as a webcast. The agenda showing the applications is available on line to view before the meeting and will include the officer's report and recommendation. There may be further written or oral reports at the meeting. For any application that will be determined by a Committee, the application may be subject to public speaking where there are a certain number of objections or support received. See the [Public Speaking Scheme](#) on the [Councillors and democracy](#) page of the website for details. Details regarding how planning committees are conducted, access to previous and current agendas and minutes can be found on this page too.

- We provide notification of decisions using the following methods:  
11.18. Decided applications can be viewed on the website either under the particular application or by looking at recently decided applications.

#### **Stage 4: The post application stage**

11.19. If an application is refused, or the decision includes a condition that the applicant/developer is not satisfied with, or the application has not been determined within the statutory time period, the applicant/developer may appeal the decision or non-determination to the Secretary of State (Planning Inspectorate). The Council will contact all those who made representations during the application to notify them of the appeal and, for some types of application, the Planning Inspectorate will invite further comments. All views are taken into account during an appeal. The Planning Inspectorate will inform the Council and objectors of the outcome.

11.20. The appeal decision will be available on the website once the Council is notified. It can be viewed either under the particular application record or selecting the recently appealed application information.

11.21. The planning portal website contains information on how the appeal process works and includes a range of downloadable leaflets and appeal information from the Planning Inspectorate. [Planning Portal - Appeal Guidance](#).

11.22. If you are not the applicant or the developer you have no right of appeal.

## **12. Planning Enforcement**

12.1. There will be occasions when individuals, companies or organisations may carry out work or use land or buildings in ways that may give rise to concerns that they may not have the necessary planning or other consents in place. The Planning Enforcement team investigates potential breaches of planning control.

12.2. To lodge a complaint see the [How to report a breach in planning](#) page on our website. Some people may be reluctant to lodge a complaint because of fears regarding potential confrontation. However, the identity of the complainant is kept confidential.

12.3. Waverley undertakes to keep the complainant updated on what is happening during the investigation of the complaint and the final outcome. However, public access to complaint investigation information can be restricted for legal reasons. If formal enforcement action is taken then the Enforcement Register, which is a public document, can be inspected.

12.4. The Waverley Borough Council [Local Planning Enforcement Plan](#) was adopted on 4 June 2013. The main function of enforcement action is to protect the environment in the public interest. The Plan identifies local priorities for enforcement action to ensure that the Council's resources are put to the best use in dealing with breaches of planning control, in a proportionate and consistent manner.

## **13. How well are we doing?**

13.1. The Government recommends assessing resources for managing community involvement. Involving the community during all planning processes has time, staff resource and funding implications and must be a key consideration when planning any form of engagement or consultation.

13.2. Therefore, whilst Waverley is committed to providing a high level service to the community making sure everyone has the opportunity to be involved in the planning process the Council will look to achieve this in the most resource efficient way. Therefore any approach used when engaging with stakeholders will reflect the type of work being undertaken. Any non-statutory approaches

used during engagement or consultation activities will also be reviewed to assess whether such an approach was effective and achieved value for money.

- 13.3. The Statement of Community Involvement will be monitored on a regular basis and the outcomes of any consultation or engagement activity reported through the Authority's Monitoring Report. This will include assessing whether the community involvement techniques indicated in this document have been effective and efficient in achieving a good level of public involvement and participation across all sections. As a result of monitoring, the Council will consider what changes, if any, need to be made to the Statement of Community Involvement.

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## 14. Appendix 1: Consultation Groups Involved in Local Plan production

### Specific Consultation bodies

The council must involve the following statutory organisations in the Local Plan process. These along with the government departments listed below form the specific consultation bodies as defined in the Town and Country Planning (Local Planning) (England) Regulations 2012. These are:

- Environment Agency
- Historic England
- Natural England
- Network Rail Infrastructure Limited
- Secretary of State for Transport
- Highway Agency
- Surrey County Council- Strategy, Transport and Planning
- Other adjacent local authorities
- Enterprise M3 LEP
- Civil Aviation Authority
- Waverley and Guildford Clinical Commissioning Group (formerly Primary Care Trust) and North East Hampshire and Farnham Clinical Commissioning Group.
- Surrey Police
- Police and Crime Commissioner
- Relevant telecommunications companies
- Relevant electricity and gas companies
- Thames Water and Southern Water: water and sewerage undertakers for Waverley.
- Homes England
- Town and Parish Councils

### General consultation bodies

- Voluntary bodies some or all of whose activities benefit any part of Waverley Borough Council's area.
- Bodies that represent the interests of different racial, ethnic or national groups in Waverley Borough Council's area
- Bodies that represent the interests of different religious groups in Waverley Borough Council's area
- Bodies, which represent the interests of disabled persons in Waverley Borough Council's area.
- Bodies, which represent the interests of persons carrying on business in Waverley Borough Council's area.



### **Duty to co-operate bodies**

- Environment Agency
- Historic England
- Natural England
- The Mayor of London
- Civil Aviation Authority
- Homes England
- NHS Surrey
- Office of Rail Regulation
- Transport for London
- Surrey County Council
- Highway Agency
- Enterprise M3 LEP

### **Other Consultees**

Bodies and individuals that are registered on the Waverley Planning Service database. These have been grouped and include:

- Individual Residents, residents associations, local strategic partnership, neighbouring parish councils, schools, community groups, societies, political parties
- Councillors- Local and County
- Local businesses, business associations, chamber of commerce, commercial companies
- Adjoining local authorities, developers, agents, planning consultants, architects, surveyors, landscape architects, housing associations
- Landowners, Estate agents (residential and commercial)
- Disabled groups, public agencies, charity organisations, voluntary organisations, care providers, health providers, leisure groups, minority groups, religious groups, sports bodies, young people, older people, faith groups, equalities, community support.
- Surrey Hills AONB Board, Environment and nature, historic groups, Conservation Area Advisory Committees
- Infrastructure providers, schools and education institutes, transport groups
- Members of Parliament
- Government Departments

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## WAVERLEY BOROUGH COUNCIL

### EXECUTIVE

9 JULY 2019

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#### Title:

### **GENERAL FUND FINANCIAL OUTTURN REPORT 2018/19**

**[Portfolio Holder: Cllr Mark Merryweather]  
Wards Affected: All]**

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#### Summary and purpose:

This report provides a summary of the 2018/19 financial outturn against budget for the General Fund. The full Statement of Accounts will be presented for approval by the Audit Committee on 22 July 2019.

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#### How this report relates to the Council's Corporate Priorities:

The monitoring and management of the Council's budgets ensures there is financial control over the services that contribute to the Corporate Priorities.

#### Resource/Value for Money implications:

This report reviews the position against the budget for the General Fund Revenue and Capital Programme. It reviews the progress made on service delivery against the 2018/19 budget.

#### Legal Implications:

There are no direct legal implications relating to this report.

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#### Introduction

1. This report provides a summary of the 2018/19 General Fund outturn position and details any major variations from the budget. This report is the final budget monitoring report for the 2018/19 year and builds on the position previously reported to Members through the Quarterly Performance Reporting Process.
2. Explanations for variations have been provided in the previous performance reports. Where a new significant variation has appeared in the outturn, an explanation is provided in this report.

#### Revenue

3. The General Fund outturn for 2018/19 shows a **surplus** of **£257,000** (-1.9%) following proposed carry forward requests on an approved net budget of £13.2m.

4. A summary of the material variations against budget is provided in Annexe 1, most of which have been explained in previous monitoring reports to Members. Explanations of material variations against budget at outturn are provided and favourable variations are shown in brackets.
5. Services have requested a number of revenue budget carry forwards detailed in Annexe 2. The first table contains cases where expenditure has been committed but the work has not been fully implemented by 31<sup>st</sup> March 2019 therefore the budget is needed to meet the balance of costs in 2019/20. The second table contains proposals to carry forward savings to provide for important emerging spending priorities in 2019/20. Both tables are subject to the Executive's approval.
6. New accessibility regulations came into force in 2018 with the aim of ensuring public sector websites and mobile apps are accessible to all users, especially those with disabilities. Waverley's current website requires updating to meet these regulations fully. It is therefore recommended that a budget of £50,000 is provided for website upgrade in 2019/20 from the revenue savings achieved in 2018/19.
7. Additional car park income has been achieved in 2018/19 despite no car park charging increases across the borough. The surplus income achieved is £119,000 which is an increase of 2.3% on the £5.28m budget. This above budget income is mainly from increase usage but also in the original budget for 2018/19 a prudent estimate had been set to reflect closure of Dogflud Car Park in Farnham and to allow for the impact of bad weather in the winter months and these decreases in parking income were not experienced to the level expected. Within the agreed service plan a review of the car parking charging strategy is due to take place in 2019/20. To support this it is proposed that £50,000 of the above budget car parking income is transferred into an earmarked reserve to fund this review and implementation.
8. Waverley Borough Council is required to pay Apprentice Levy to HMRC monthly relating to payroll costs, which can then be utilised on staff training costs including apprentices and other specific staff training. However, there is a 2 year limit on the pot of funds before Waverley loses the right to utilise. To ensure Waverley maximises the use of the current pot of money, it is requested that the balance of funds as at year end, £22,890, is transferred into an earmarked provision, with a view to ensure staff training is undertaken before funds expire and are claimed by central government.
9. In 2018/19 commercial property income has been stable with the exception of one property acquired in 2018 and continues to have property void risk despite work of officers to lease office space. It is therefore recommended that the Commercial Property Risk Fund is increased by the balance of General Fund savings to ensure the Council can mitigate this risk in future years. The balance of savings on the General Fund available is £184,080.

### **Business Rate Pilot**

10. In addition to the surplus on the net cost of services detailed in paragraph 3, one off additional business rate funding has also been achieved through Waverley's participation in the Surrey business rate pilot in 2018/19. The Council has approved for £250,000 of the gain to be set aside for place shaping and master planning work. In addition to this, a further £100,000 of the gain is proposed to be put aside for joint infrastructure initiatives which will lever in funding from Surrey County Council and the

M3 LEP. This is an initial contribution and in future years, like a number of the other Surrey councils, Waverley will bid for its Community Infrastructure Levy (CIL) receipts to contribute to this fund. There is a concern that Surrey is missing out on infrastructure funding through a lack of appropriate feasibility studies. Investment in project development is needed at an early stage to develop a pipeline of projects able to apply for full project funding when bidding rounds become available. A dedicated fund is needed to ensure that resources are available and ring-fenced to support project development.

The purpose of the fund is to:

- Develop a pipeline of infrastructure projects to support economic growth across Surrey;
- Reduce the risk to scheme promoters which results in feasibility studies not being carried out;
- Support investment in project development at an early stage to develop a pipeline of projects able to apply for full project funding when bidding rounds become available;
- Provide a dedicated fund to ensure that resources are available and ring-fenced to support project development;
- Ensure that projects are in the best possible position to secure external funding due to good quality feasibility work being carried out;
- Ensure that Surrey can apply for full project funding when bidding rounds become available. Other Counties have pipelines of well-developed schemes and hence are in a better position to apply for these competitive funds; and
- Develop projects that cross boundaries both within and outside Surrey. The fund will help partnership working both between local authorities and between local authorities and the LEPs.

11. As the council has been advised, there is considerable risk around the business rates funding mechanism, particularly in relation to revaluations therefore it is proposed that the balance of additional one-off income achieved in 2018/19, £262,330, is transferred to the Business Rate Equalisation Reserve to protect against future business rate income risk.

### **General Fund Capital**

12. After rescheduling requests the **General Fund Capital** programme shows a **saving of £157,000** on a budget of £5.2m.
13. A number of projects have not yet reached completion and therefore it is requested that **£1.1m** is to be **rescheduled** into 2019/20. A summary on the projects to be rescheduled is shown in Annexe 3.

### **Recommendation**

It is recommended that the Executive:

1. notes the outturn position on revenue and capital for 2018/19;
2. approves the budget rescheduling to 2019/20 as detailed in Annexe 2;

3. approves the transfers of surplus on the net cost of services to earmarked reserves as set out in Annexe 2;
4. approves the transfers of additional business rate pilot funding to reserves as detailed in paragraphs 10 and 11; and
5. approves the capital rescheduling to 2019/20 as detailed in Annexe 3.

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### Background Papers

There are no background papers (as defined by Section 100D(5) of the Local Government Act 1972) relating to this report.

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**General Fund: Summary of material variations to budget**

Service Variations	(Surplus)/Deficit	Description
Carry Forward Requested	£'000	
<b>Community</b>		
Grounds Maintenance	(55)	This budget has been underspent in year through officers controlling spend on works additional to the core contract.
Leisure Centre Profit Share	68	The Leisure Centre contractor has experienced industry-wide reduced income from customers in the last quarter, reducing the profit share payment compared to budget and the previous year.
Leisure Centre Rental Income	(40)	Additional income achieved from Surrey County Council due to a review of the lease agreement.
Memorial Hall Net Operating Cost	84	Underachievement of income as a full years income was budgeted for however due to delays in construction the hall was not open until the winter.
Waverley Training Services	(155)	Due to tight controls on spend and achieving additional income the budgeted deficit has been turned into a surplus in the financial year.
<b>Environment</b>		
Car Park Income	(119)	Additional car park income achieved in year from increased usage.
Car Park Business Rates	(23)	Savings on budget on business rates payable on car parks.
Joint Enforcement	(19)	The income budget from fines has been exceeded, a number of flytipping and littering fines have been issued in year with the new responsibilities.
Licensing	(17)	Additional licensing income has been achieved in year across a number of license types
<b>Planning</b>		
Building Control Income	91	Underachievement of income as experienced industrywide. This is partially offset by salary cost savings.
Street Naming Income	(33)	Additional street naming income achieved on budget, new service introduced 2018/19.
Development Management Income	205	Underachievement of income, dependant on planning application submissions.
* Planning Enforcement	(38)	Supplementary estimates for planning enforcement have not been spent in full, these budget savings in year are requested to be carried forward as the action will be continued in 2019/20.
* Local plan judicial review still underway	(212)	The Local Plan Judicial Review is not yet complete, these budget savings in year are requested to be carried forward below. In the event that the full budget is not required, the balance will return to the revenue reserve fund.
<b>Customer &amp; Corporate</b>		
Underachievement of commercial property rent income	60	Not achieved income budget for commercial properties due to an empty property for part of the financial year.
Business rates on void commercial properties	52	Business rates payable on empty properties.
<b>Policy &amp; Governance</b>		
* Apprenticeship Levy	(30)	Lower than budgeted usage of apprentice levy fund due to timing differences.
* Budget Public Consultation	(18)	The financial public consultation is planned, however not yet complete with plans to complete post election period, it is therefore requested to carry these savings forward into 2019/20.
* General Data Protection Regulations	(20)	The GDPR requirements are now implemented across the council therefore the remainder of this one off budget is no longer required. A small amount of this saving is requested to be carried forward below for annual statutory fees.
Members Allowances	(19)	Savings due to a small number of councillors holding multiple roles.
Land Charges Appeal costs	(26)	Savings on a budget approved for settlement following a Local Government wide property search litigation.
* Legal Staffing Budget	(55)	The majority of this saving is due to a contract lawyer not being in post all year, this has lead to external advice being used as required.
<b>Finance</b>		
Interest Income	(374)	Additional interest income achieved due to investment and improving interest rates.
Housing Benefit Overpayment Impairment Allowance	252	Additional impairment allowance contributions to reflect level and likelihood of collecting debt.
<b>Other</b>		
Financing Cost of investment Property purchases	(120)	Saving on budgeted finance costs for commercial property purchase.
* Other small items including staff savings	(97)	
<b>Deficit/(surplus) against service budgets</b>	<b>(658)</b>	
Carry forwards Requested – see Appendix 2 for details	160	
Use of budget savings – see Appenfix 2 for details	241	
<b>Deficit/(surplus) against budget</b>	<b>(257)</b>	
Proposed transfers to reserves – see Appendix 2 for details	257	

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## ANNEXE 2

### General Fund Revenue: Summary of revenue budgets to be rescheduled into 2019/20

#### Carry forwards of budgets into 2019/20

Service	Reschedule £'000	Comment
<b>Communities</b>		
Cranleigh Friday Night Project	4	To carry forwards budgets for the youth project as funding was received in 2018/19 however the project spans financial years.
Sports Development Subscriptions	3	To enable 2019 sports development subscriptions which spans financial years.
<b>Total Communities</b>	<b>7</b>	
<b>Policy &amp; Governance</b>		
Public Consultation - budget	17	For ongoing public consultation around budgets and spending priorities.
<b>Total Policy &amp; Governance</b>	<b>17</b>	
<b>Finance</b>		
Internal Audit	9	The internal audit budget has not been fully spent in year due to rescheduled audits in year. It is requested that the remaining budget is carried forward into 2019/20 to the completion of some audit reviews and enable further audits to take place in the new financial year.
<b>Total Finance</b>	<b>9</b>	
<b>Planning</b>		
Judicial Review	112	In 2018/19 supplementary estimates of £300k were approved in year for ongoing judicial review of the local plan, £78k has been spent to date. The risk of these appeals has been reassessed in light of the current position and it is therefore proposed that £112k of the £212k remaining budget is now carried forward.
Planning Enforcement	15	Carry forward of supplementary estimate approved in year for ongoing enforcement for specific site.
	<b>127</b>	
<b>Revenue Carry Forwards</b>	<b>160</b>	

## Use of budget savings to be rescheduled into 2019/20 to meet emerging spending priorities

Service	Reschedule £'000	Comment
<b>Environment</b>		
Waste, Recycling & Street Cleaning Contract mobilisation costs	32	To implement the new waste, recycling & street cleaning contract mobilisation project including interim staffing and software integration costs.
<b>Total Environment</b>	<b>32</b>	
<b>Policy &amp; Governance</b>		
Elections Equipment	2	To purchase replacement key equipment for running of elections.
Elections interim cover & recruitment	12	To allow council to recruit to key elections manager role and provide interim support during European elections.
GDPR statutory ICO fee	3	For statutory fee payable to the ICO annually.
Contract Lawyer	44	Use of staff savings for ongoing legal costs to enable team to meet internal requirements for legal support.
Website upgrade – see paragraph 6	50	To ensure Waverley website meets new accessibility regulations
<b>Total Policy &amp; Governance</b>	<b>111</b>	
<b>Planning</b>		
Planning Staffing Costs underspent in year	98	To enable current interim cover of planning service and recruitment of permanent Head of Planning role.
	<b>98</b>	
<b>Use of Budget Savings</b>	<b>241</b>	

## Summary of proposed transfers to reserves

Detail	Transfer £'000	Comment
Car Park Review	50	See paragraph 7
Apprentice Levy	23	See paragraph 8
Commercial Property Risk Fund	184	See paragraph 9
<b>Proposed transfer to reserves</b>	<b>257</b>	

## ANNEXE 3

### General Fund Capital: Summary of projects request to be rescheduled into 2019/20

Project	Reschedule £'000	Comment
<b>Customer &amp; Corporate</b>		
Replacement of Planning & Building Control Software	51	Project is underway, implementation costs including licence and backfilling of staff required in 2019 to complete project.
Customer Services Project	39	Customer Services Project ongoing, implementation will span financial years.
Mobile working solutions	1	For continued delivery of iPads and alternatives to teams who work away from the office as required.
Orchard housing system Development	14	Awaiting new software version release to enable upgrade to start.
Microsoft Office 365	2	Works are underway, rollout in May.
Website Form Upgrade	12	Part of the wider customer services project above, new web forms required to push channel shift and interface with new systems.
Tape Backup	15	To provide IT backup data, delayed due to additional externally funded projects taking priority.
<b>Total Customer &amp; Corporate</b>	<b>134</b>	
<b>Community</b>		
Frensham Common Site Facilities	46	Design now signed off by National Trust and commons consent achieved.
Leisure Centre Maintenance Programme	97	Not carried out Cranleigh LC works in light of upcoming project, however at risk that works may be required as equipment reaches end of life.
Cranleigh Fountain Repair	25	Works to repair the damaged fountain are ordered.
Leisure Feasibility & Options Appraisal	7	Works underway looking at Cranleigh LC site options.
Playground Replacement	2	Works underway to maintain and improve playgrounds across the borough.
Recreation Ground Signage	2	Signage installation underway across the borough.
Farnham Museum building repairs	138	Works have started however a long project which will continue into 2019/20.
Weyhill Site Enabling Costs	462	To enable continuing site preparation ahead of disposal.
Day Centres	13	For payment to day centre on agreement of new lease.
<b>Total Communities</b>	<b>792</b>	
<b>Environment</b>		
Weyhill Car Park	176	Project now has commons consent so project to take place in 2019/20.
<b>Total Environment</b>	<b>176</b>	
<b>Finance</b>		
Careline – Finance System	8	Project in progress to implement improved system for information collation and payment details.
<b>Total Finance</b>	<b>8</b>	
<b>Total Programme</b>	<b>1,110</b>	

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## WAVERLEY BOROUGH COUNCIL

### EXECUTIVE

9 JULY 2019

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#### Title:

### **HRA FINANCIAL OUTTURN REPORT 2018/19**

**[Portfolio Holder: Cllr Anne-Marie Rosoman]  
Wards Affected: All]**

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#### **Summary and purpose:**

This report provides a summary of the 2018/19 financial outturn against budget for the Housing Revenue Account. The full Statement of Accounts will be presented for approval by the Audit Committee on 22 July 2019.

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#### **How this report relates to the Council's Corporate Priorities:**

The monitoring and management of the Council's budgets ensures there is financial control over the services that contribute to the Corporate Priorities.

#### **Resource/Value for Money implications:**

This report reviews the position against the budget for the Housing Revenue Account and Capital Programmes. It reviews the progress made on service delivery against the 2018/19 budget.

#### **Legal Implications:**

There are no direct legal implications relating to this report.

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#### **Introduction**

1. This report provides a summary of the 2018/19 Housing Revenue Account outturn position and details any major variations from the budget. This report is the final budget monitoring report for the 2018/19 year and builds on the position previously reported to Members through the Quarterly Performance Reporting Process.

#### **Housing Revenue Account (HRA)**

2. The HRA outturn shows a surplus of £2.2m on a budget of £28m. The key components being £0.5m additional income, and lower spend on responsive repairs £0.9m, a reduced contribution to the Core Capital programme £0.9m resulting in £0.1m less staff charged to capital schemes than budgeted that is now additional cost to the Revenue Account.
3. The additional income was generated from increased recovery of service charges and garage rents.

4. The majority of the £0.9 underspend on the responsive repairs budget contained a contingency this year to wrap up the contract with Mears resulting from the retendering exercise won by MPS.

### **HRA Capital Programme**

5. The housing capital programme is funded through the New Build Reserve and the Core Programme Reserve supported predominantly by a £7m contribution from the Housing Revenue Account. This year £0.9m less was required to fund the core programme as seen in 2 above.
6. In previous years the full cost of a project would be included in the capital programme opening budget and rescheduled as required across financial years. This would be reflected through the approved budget with any surplus released on completion of the specific projects. This year we have released all rescheduled budgets and accounted for every project as required in the future capital programme budgets. This has therefore resulted in the release of significant surplus budget as can be seen in the narrative below.

### **Core Capital**

7. A high percentage of the Core Capital Programme is demand led. The total spend on the Core Capital Programme to ensure our homes are safe, warm and desirable was £3.9m, underspent by £0.9m, including the following project budgets that are being requested to carry forward for works spanning two financial years.

<b>Cost Type</b>	<b>Amount</b>
Roof	£24,221
Windows and doors	£92,500
Bathrooms	£1,800
Kitchens	£4,400
General Structure	£1,000
Parking	£59,884
	<b>£183,805</b>

### **New Build and Stock Remodelling**

8. The overall approved budget was £13m of which predominantly £10m of rescheduling is surplus budget being released. The significant element of this is the Ockford Ridge project. The following project budgets are being requested to carry forward for works spanning two financial years:

<b>Project</b>	<b>Amount</b>
Pre-development Expenditure	£25,312
Middlefield, Farnham	£18,000
Nursery Hill, Shamley Green	£2,000
Weycourt redevelopment	£45,000
Hullmead	£22,910
HRA Property Purchase	£368,787

Ladymead	£1,000
Land adj 75 Sherrydon	£5,000
Ockford Ridge - Site B	£13,042
Ockford Ridge - Site C	£27,543
Ockford Ridge refurbishment- phase 1	£59,853
Community rooms	£264,000
	<b>£852,447</b>

## **Recommendation**

It is recommended that the Executive:

1. notes the outturn position on revenue 2018/19 in paragraph 2; and
2. approves the HRA capital carry forwards to 2019/20 as detailed para 7 and 8.

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## **Background Papers**

There are no background papers (as defined by Section 100D(5) of the Local Government Act 1972) relating to this report.

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## **CONTACT OFFICERS:**

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**WAVERLEY BOROUGH COUNCIL**

**EXECUTIVE**

**9 JULY 2019**

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**Title:**

**FUNDING REQUEST – ALFOLD SPORTS & SOCIAL CLUB**

**[Portfolio Holder: Cllr David Beaman  
[Wards Affected: Alfold]**

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**Summary and purpose:**

This report proposes to allocate funding from Waverley's capital receipts towards a project to improve Alfold Sports & Social Club.

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**How this report relates to the Council's Corporate Priorities:**

**People** – this project will actively engage our social sporting customers and identify their future needs as clubs. Supporting the health and well being of our residents by ensuring sporting and social opportunities exists for all.

**Prosperity** – the funding of this project seeks to use easement funds allocated towards communities and sporting facilities, maximizing the use of Council funds.

**Financial Implications:**

The costs and funding of this project is set out in the report.

**Legal Implications:**

There are no direct legal implications associated with this report.

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**Background**

1. Alfold has seen some significant housing developments in the local area and as a result some of the community facilities in existence need to be enhanced to meet this additional need. Following Executive approval, Waverley Borough Council received a financial sum from a housing development in Alfold for its interest in some adjacent land required for access. The majority of this sum will contribute to Waverley's overall capital programmes but this report proposes that a relatively small proportion is allocated to improve local facilities in Alfold. This project covers two organisations which cater for the needs of the Young and Elderly both in sport, leisure and community well being. Alfold Parish Council is supportive and the proposed scheme has been developed following several meetings with members of the Sports and Social club. The Club will be managing the project governance.

2. Alfold Village Hall was built in 1963. It was the culmination of considerable local fundraising, and the physical hard work of the community. Over the past 53 years, the village Hall has continued to flourish. In 2005 the hall was extended, the kitchen enlarged and a small meeting room built and the toilets were refurbished. The hall is structurally sound, but as the years go by, the fixtures and fittings are becoming tired and worn. Fundraising takes place, but the amount raised is generally small, and the upgrades and replacements are beyond their reach. The carpet requires replacing, and new tables and chairs are urgently needed. The stage curtains are at the end of their useful life, and the car park is inadequate to cope with the demand to park. The purchase of parking strips will allow for this parking, and help protect the grass. The ovens in the kitchen need replacing as they are inefficient, and hand driers in the building would be both more efficient in financial terms, but also more hygienic.
3. These necessary improvements will allow the hall to be fit for purpose for the future, and will ensure that the facilities are used extensively for future generations as they are now.
4. Alfold Sports and Social Club has been serving the community for over 40 years. The facilities support sporting activity to football, cricket, tennis, stoolball and darts, as well as providing an environment for social events. The club house was last updated many years ago. The carpets are worn and ripped in many places being held in place with tape, and the curtains which were made by a local resident, are still in use some 30 years later.
5. The club house requires the ceiling to be removed, and old wiring to be removed or replaced. The club needs insulating to be more efficient. The general electrics need to be brought up to current standards, and the plumbing system needs to be upgraded to be able to cope with the current demands. The curtains, chairs and tables need replacing, and in addition some alterations are required to make better use of the building. The existing beam needs to be removed so a larger opening can be created. With the installation of folding doors, it will enable the club to be split into two, maximising its potential use. Walls need plastering, skirting and floor screed will make the facilities outstanding, and upgrades to the existing kitchen facilities.
6. Finance Implications:

<b>Estimated Costs</b>	<b>2018/2019</b>
Village Hall	£18,200
Alfold Sports & Social Club	£55,500
<b>Total</b>	<b>£73,700</b>

<b>Funding</b>	
Alfold Community	£12,500
WBC Easement	£61,200
<b>Total</b>	<b>£73,700</b>



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